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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ottesen et al. : Date: April 17, 2001
Group Art Unit: Unassigned : IBM Corporation
Examiner: Unassigned : Intellectual Property Law
Serial No.: 09/771,036 : Dept. 917, Bldg. 006-1
Filed: January 26, 2001 : 3605 Highway 52 North
Title: MULTIMEDIA DIRECT ACCESS STORAGE
DEVICE AND FORMATTING METHOD : Rochester, MN 55901-7829

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

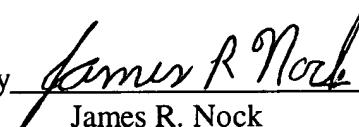
Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No.: 09/771,036
Docket No.: ROC91995043US4

The references listed on the attached PTO-1449 were previously cited by or submitted to the Office in prior application serial no 08/866,377 filed on May 30, 1997, and prior application serial no 09/035,624 filed on March 5, 1998. The prior applications are relied upon for an earlier filing date under 35 U.S.C. §120, and thus a copy of these references is not required to be provided under 37 CFR 1.98(d).

Date: April 17, 2001

Respectfully submitted,

By 
James R. Nock
Registration No. 42,937

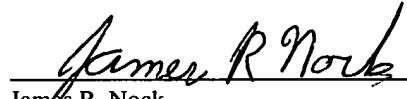
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**CERTIFICATE OF MAILING UNDER
37 C.F.R. 1.8(a)**

I hereby certify that the enclosed or attached correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on April 17, 2001.


James R. Nock

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